



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Charles L. Sawyers et al.  
**Serial No.:** 10/062,684  
**Filed:** January 30, 2002  
**Docket:** 30435.53USD3  
**Title:** METHODS OF SIMULATING HUMAN PROSTATE CANCER PROGRESSION

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CERTIFICATE UNDER 37 CFR 1.8

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By: \_\_\_\_\_

Name: Richelle Ann Domingo

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8.
- ☒ Supplemental Information Disclosure Statement (37 C.F.R. §1.97 (c)(2))
- ☒ Form 1449 (Information Disclosure Statement)
- ☒ Exhibits 9 – 40
- ☒ A check in the amount of \$180.00 to cover the IDS fee
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

**MANDEL & ADRIANO**

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(626)395-7801

By: \_\_\_\_\_

Name: Sarah B. Adriano

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Initials: SBA

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Charles L. Sawyers, et al. **Examiner:** Not Yet Known  
**Serial No.:** 10/062,684 **Group Art Unit:** 1632  
**Filed:** January 30, 2002 **Docket No.:** 30435.53USD3  
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By:   
Michelle Ann Dominguez

55 S. Lake Avenue, Suite 710  
Pasadena, California  
September 26, 2003

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**(37 C.F.R. §1.97(c)(2))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement should be considered because it is submitted accordance to 37 C.F.R. §1.97(c)(2) before the mailing date of a notice of allowance under §1.311. The fee as set forth in 37 C.F.R. §1.17(p) for an Information Disclosure Statement under 37 C.F.R. §1.97(c)(2) is \$180.00 and a check in that amount is hereby enclosed. In accordance with 37 C.F.R. §1.98(d), copies of Exhibits 9-40 as set forth in the Form 1449 are included herewith.

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. They are as follows:

10/01/2003 JBALINAN 00000118 10062684

01 FC:1806

180.00 0P

- Aldrovandi, Grace M. et al., "The SCID-hu Mouse as a Model for HIV-1 Infection," *Nature*, June 24, 1993, 363:732-6. (Exhibit 9)
- Batson, Oscar V., "The Role of the Vertebral Veins in Metastatic Processes," *Ann. Int. Med.*, 1942, 16:38-45. (Exhibit 10)
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(Exhibit 24)
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- Thalmann, George N. et al., "Androgen-Independent Cancer Progression and Bone Metastasis in the LNCaP Model of Human Prostate Cancer," *Cancer Research*, May 15, 1994, 54:2577-81. (Exhibit 36)

- Wang, Min and Mark E. Stearns, "Isolation and Characterization of PC-3 Human Prostatic Tumor Sublines Which Preferentially Metastasize to Select Organs in S.C.I.D. Mice," *Differentiation*, 1991, 48:115-25. (Exhibit 37)
- Wood, David P. Jr. et al, "Identification of Bone Marrow Micrometastases in Patients with Prostate Cancer," *Cancer*, 1994, 74:2533-40. (Exhibit 38)
- Wu, His-Chin et al., "Derivation of Androgen-Independent Human LNCaP Prostatic Cancer Cell Sublines: Role of Bone Stromal Cells," *Int. J. Cancer*, 1994, 57:406-12. (Exhibit 39)
- Zetter, Bruce R. et al., "The Cellular Basis for Prostate Cancer Metastasis," *Adv. Exp. Med. Biol.*, 1992, 324:39-43. (Exhibit 40)

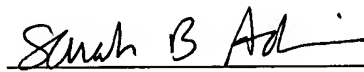
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that the references have been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Charles L. Sawyers, et al.  
Serial No. 10/062,684  
Filed: January 30, 2002  
Page 7

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-0306.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Sarah B. Adriano", is written over a horizontal line.

Sarah B. Adriano  
Registration No. 34,470  
Attorney for Applicants  
Mandel & Adriano  
55 S. Lake Avenue, Suite 710  
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(626) 395-7801  
Customer No. 26,941





<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  (Use several sheets if necessary)	<b>Docket Number</b> 30435.53USD3	<b>Application Number</b> 10/062,684
	<b>Applicant</b> Charles L. Sawyers et al.	
	<b>Filing Date</b> January 30, 2002	<b>Group Art Unit</b> 1632

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Aldrovandi, Grace M. et al., "The SCID-hu Mouse as a Model for HIV-1 Infection," <i>Nature</i> , June 24, 1993, 363:732-6. (Exhibit 9)
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*Substitute Disclosure Statement Form (PTO-1449) Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE	



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